

COMMONWEALTH OF AUSTRALIA

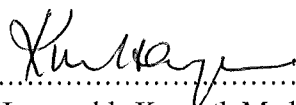
*Royal Commissions Act 1902*

COMMISSION OF INQUIRY INTO  
MISCONDUCT IN THE BANKING, SUPERANNUATION AND  
FINANCIAL SERVICES INDUSTRY

**NON-PUBLICATION DIRECTION  
PURSUANT TO SECTION 6D(3)**

Pursuant to s 6D(3) of the *Royal Commissions Act 1902* (Cth), I direct that so much of the contents of the documents in the schedule as discloses direct contact information of employees of the Australian Securities and Investments Commission (**ASIC**) and employees of any third parties or identifying information of any individuals against whom allegations of misconduct have been made, or, in respect of whom, in the context of the particular document, it is suggested have been involved in misconduct, not be published except to the Commission, its staff and counsel and solicitors assisting the Commission, and to ASIC and its counsel and solicitors.

Dated this 6 day of December 2018



.....  
The Honourable Kenneth Madison Hayne AC QC  
Commissioner of the Royal Commission

**Schedule**

- i. ASIC.0076.0001.4411
- ii. ASIC.0076.0004.6951
- iii. ASIC.0076.0006.5270
- iv. ASIC.0089.0002.0560
- v. ASIC.0089.0004.1051
- vi. ASIC.0081.0004.2852
- vii. ASIC.0079.0002.0029
- viii. ASIC.0076.0006.0279

A handwritten signature in black ink, appearing to be the initials 'K' followed by a flourish.