

COMMONWEALTH OF AUSTRALIA

*Royal Commissions Act 1902*

COMMISSION OF INQUIRY INTO  
MISCONDUCT IN THE BANKING, SUPERANNUATION AND  
FINANCIAL SERVICES INDUSTRY

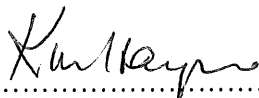
**NON-PUBLICATION DIRECTION  
PURSUANT TO SECTION 6D(3)**

Pursuant to s 6D(3) of the *Royal Commissions Act 1902* (Cth), I direct that so much of the contents of the documents listed in the attached Schedule as discloses:

- (a) contact and identifying information of customers of AAI Limited and its associated entities (within the meaning of that term as defined in s 50AAA of the *Corporations Act 2001* (Cth)) (collectively, **AAI**) except for Ms Bernadette Heald's name, and Mr Bruce Heald's name, and the suburb in which they live;
- (b) direct contact information of employees of the Financial Ombudsman Service;
- (c) direct contact information of third parties;
- (d) the name, direct contact and identifying information of the Senior Dispute Resolution Officer referred to in FOS.0031.0001.0020;
- (e) the names, direct contact and identifying information of the contractors other than Burke Engineering named in FOS.0031.0001.0020 and FOS.0031.0001.2520, including the names, contact and identifying information of those contractors' employees, wherever those details appear in the documents;
- (f) the names and identifying information of any individuals against whom allegations of misconduct have been made, or, in respect of whom, in the context of the particular document, it is suggested have been involved in misconduct,

not be published except to the Commission, its staff and counsel and solicitors assisting the Commission, and to the Financial Ombudsman Service and its counsel and solicitors.

Dated this 27 day of September 2018



.....  
The Honourable Kenneth Madison Hayne AC QC  
Commissioner of the Royal Commission

## SCHEDULE

1. FOS.0031.0001.0020
2. FOS.0031.0001.2520
3. FOS.0043.0001.0721
4. FOS.0043.0001.0807
5. FOS.0038.0001.1330
6. FOS.0038.0001.1469
7. FOS.0038.0001.1571
8. FOS.0038.0001.1608

A handwritten signature in black ink, appearing to be the initials 'K' followed by a flourish.