

COMMONWEALTH OF AUSTRALIA

Royal Commissions Act 1902

COMMISSION OF INQUIRY INTO
MISCONDUCT IN THE BANKING, SUPERANNUATION AND
FINANCIAL SERVICES INDUSTRY

**NON-PUBLICATION DIRECTION
PURSUANT TO SECTION 6D(3)**

Pursuant to s 6D(3) of the *Royal Commissions Act 1902* (Cth), I direct that so much of the contents of the statement of evidence of Mr Gary Dransfield in response to Rubric 6-49, and the documents exhibited to that statement, as discloses:

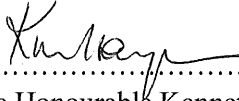
- (a) contact and identifying information of customers of AAI Limited and its associated entities (within the meaning of that term as defined in s 50AAA of the *Corporations Act 2001* (Cth)) (collectively, **AAI**);
- (b) direct contact information and signatures of employees of AAI Limited;
- (c) direct contact information and signatures of third parties;
- (d) contact and identifying information of current and former employees of AAI, which is revealed in the context of any performance review;
- (e) in relation to SUN.1608.0502.5486, SUN.1608.0502.5510, SUN.1608.0206.0086, SUN.1608.0512.0001, SUN.1608.0507.0214, SUN.1608.0501.0563, SUN.1608.0501.0909, SUN.1608.0012.0064, the information identified in AAI's application for non-publication directions dated 28 August 2018 (**AAI Application**);
- (f) in relation to SUN.1608.0005.0736, SUN.1608.0005.0351, SUN.1608.0005.0395, SUN.1608.0005.0663, SUN.1608.0008.0022, SUN.1608.0008.0034, SUN.1608.0512.0579, SUN.1608.0512.0783, SUN.1608.0005.0375, SUN.1608.0005.0417, SUN.1608.0005.0464, SUN.1608.0005.0581, SUN.1608.0005.0669, SUN.1608.0005.0694 and SUN.1608.0005.0575, the information identified in the AAI Application insofar as it relates to information under the heading "Actual" in any document (and any information otherwise covered by paragraphs (a) to (d) above);
- (g) in relation to SUN.1608.0501.0239 and SUN.1608.0504.0238, the information identified in the AAI Application, other than the first sentence of the third paragraph on page 2 of each document;
- (h) in relation to SUN.1608.0303.0446, the table on page .0449 and the dollar figure in the paragraph immediately below that table;
- (i) in relation to SUN.1608.0501.0990, the information identified in the AAI Application, other than the information on pages .1002, .1003, .1028 and .1030;



- (j) in relation to SUN.1608.5000.4399, the information in columns labelled “Apr” through to “March”, and the column labelled “Provider”, but not the labels themselves; and
- (k) in relation to SUN.1608.0003.0356:
 - i. on page .0356, the information in columns labelled “Actual – MTD” through to “Comments/Actions/Lever”, but not the labels themselves;
 - ii. on page .0357, the data points in each chart; and
 - iii. on page .0358, the data points in the chart,

not be published except to the Commission, its staff and counsel and solicitors assisting the Commission, and to AAI and its counsel and solicitors.

Dated this 17 day of September 2018



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The Honourable Kenneth Madison Hayne AC QC
Commissioner of the Royal Commission