

COMMONWEALTH OF AUSTRALIA

Royal Commissions Act 1902

COMMISSION OF INQUIRY INTO
MISCONDUCT IN THE BANKING, SUPERANNUATION AND
FINANCIAL SERVICES INDUSTRY

**NON-PUBLICATION DIRECTION
PURSUANT TO SECTION 6D(3)**

Pursuant to s 6D(3) of the *Royal Commissions Act 1902* (Cth), I direct that so much of the contents of the statements of evidence of Mr Mark Pankhurst provided to the Commission in respect of Rubric 5-41, and the documents exhibited to those statements, as discloses:

- (a) direct contact details and signatures of current and former employees and directors of Australia and New Zealand Banking Group Limited and its associated entities (within the meaning of that term as defined in s 50AAA of the *Corporations Act 2001* (Cth)) (collectively, **ANZ**);
- (b) direct contact details and signatures of third parties;
- (c) direct contact details of internal ANZ teams and internal ANZ teleconference facilities;
- (d) names and other identifying information (including policy numbers) of members of funds administered by ANZ;
- (e) names and other identifying information of the financial advisers (including their employees and associates) identified in ANZ's applications for non-publication directions dated 27 July 2018 and 3 August 2018 (the **July Application** and **August Application** respectively);
- (f) the information identified in sub-paragraph 3(e) of ANZ's application for non-publication directions dated 27 July 2018;
- (g) paragraph 144 of the statement of Mr Pankhurst dated 1 August 2018;
- (h) in relation to ANZ.800.983.0013, ANZ.800.936.0091, ANZ.800.982.0032, ANZ.800.877.0067, ANZ.801.009.0026, ANZ.800.877.0212, ANZ.800.877.0335, the information identified in the August Application;
- (i) in relation to ANZ.801.013.0001, the information identified in the August Application, other than the information on page .0024;



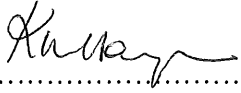
- (j) in relation to ANZ.800.877.0001, the information identified in the August Application, other than with respect to clauses 7.2 and 7.3 on page .0010;
- (k) in relation to ANZ.800.879.0001, the information identified in the August Application, other than with respect to the following pages:
 - (i) .0099 (except for the numerals);
 - (ii) .0105;
 - (iii) .0183; and
 - (iv) .0184;
- (l) in relation to ANZ.800.692.6713, the information identified in the August Application, other than with respect to the following pages:
 - (i) .6739 (except for the numerals);
 - (ii) .6742 (except for the numerals);
 - (iii) .6752 (except for the numerals);
 - (iv) .6755;
 - (v) .6785;
 - (vi) .6796 (except for the numerals);
 - (vii) .6801 (except for the numerals);
 - (viii) .6804 (except for the numerals);
 - (ix) .6814 (except for the numerals);
 - (x) .6817;
 - (xi) .6825 (except for the numerals);
 - (xii) .6845;
 - (xiii) .6856 (except for the numerals);
 - (xiv) .7023 to .7025 (except for the numerals); and
 - (xv) .7066 (except for the numerals);
- (m) in relation to ANZ.800.882.4528 and ANZ.882.0060, the information identified in the July Application; and
- (n) in relation to ANZ.800.891.0012, the information on pages .0024, .0030 and .0031 identified in the July Application,

not be published except to the Commission, its staff and counsel and solicitors assisting



the Commission, and to ANZ and its counsel and solicitors.

Dated this 15 day of August 2018



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The Honourable Kenneth Madison Hayne AC QC
Commissioner of the Royal Commission