

COMMONWEALTH OF AUSTRALIA

Royal Commissions Act 1902

COMMISSION OF INQUIRY INTO
MISCONDUCT IN THE BANKING, SUPERANNUATION AND
FINANCIAL SERVICES INDUSTRY

**NON-PUBLICATION DIRECTION
PURSUANT TO SECTION 6D(3)**

Pursuant to s 6D(3) of the *Royal Commissions Act 1902* (Cth), I direct that so much of the contents of the documents in the Schedule as discloses:

- (a) direct contact details and signatures of employees or officers of Suncorp Group Limited and Suncorp Portfolio Services Limited (collectively “**Suncorp**”);
- (b) direct contact details and signatures of clients of Suncorp Group Limited;
- (c) direct contact details and signatures of members of Suncorp Portfolio Services Limited;
- (d) direct contact details and signatures of third parties;
- (e) In relation to SUN.1501.0008.8773:
 - (i) At page .8776 the names of the Funds;
 - (ii) At pages .8777, .8779 and .8781 the names of the Funds and the tables under the headings ‘risk measures’ and ‘trailing returns’;
 - (iii) All of pages .8778, .8780 and .8782 (except for header, footer and page numbers);
- (f) In relation to SUN.1501.0005.2408 the first dot point under each of the sections under the headings ‘Frozen Funds update’ on pages .2409, .2410 and .2411;
- (g) In relation to SUN.1501.0005.4707:
 - (i) At page .4708 the number of members and quantum of funds under the heading ‘Frozen Funds update’, the third dot point under the section ‘Platinum International Fund update’;
 - (ii) At page .4709 the second dot point under the heading ‘Goldman Sachs International Wholesale Fund Termination’;
 - (iii) At pages .4710 and .4711 the dot points under the heading ‘Ausbil Australian Active Equity Fund constitution amendments’ and the dollar




- amounts and final dot point under the heading ‘Colonial First State portfolio management changes’;
- (iv) At page .4711 the amount of members and amount of funds noted under the heading of ‘Frozen Fund update’; and
 - (v) At page .4714, the names of the funds under the dot point commencing ‘on Suncorp Brighter Super’;
- (h) In relation to SUN.1501.0005.7426:
- (i) At page .7427 the text in the box headed ‘The Infrastructure Fund (“TIF”) Unitholder Vote’; and
 - (ii) At page .7428, the second dot point under the heading ‘Group Investments Investment Report for June 2017 Quarter’, the first dot point under the heading ‘Suncorp Group Australian Equity Active Trust (“SGAEAT”) Change to Active Return Target’ and the text under the heading ‘The Infrastructure Fund’;
- (i) In relation to SUN.1501.0005.7279, the amount of basis points identified in the first dot point in the first paragraph on page .7302;
- (j) In relation to SUN.1501.0005.5431:
- (i) At .5442 the numbers in the table at appendix 3; and
 - (ii) At .5444 the text in the first paragraph and the numbers in the table;
- (k) In relation to SUN.1501.0005.7503:
- (i) At page .7503 the number values in the third, fourth, fifth, sixth and seventh dot points; and
 - (ii) At page .7504 the number in the second paragraph under the heading ORFR Target and the numbers located in the first, second and third dot points under the paragraph starting with ‘Consistent with APRA guidance...’;
- (l) In relation to SUN.1501.0005.7684, at page .7684, the number in the sixth dot point and the text in the second sentence of the sixth dot point’;
- (m) In relation to SUN.1501.0005.7507 the scorecards at pages .7545 to .7547;
- (n) In relation to SUN.1501.0005.7349 the numerical values on page .7351;
- (o) In relation to SUN.1501.0005.2311, pages .2323, .2324, .2325 (except for header, footer and page numbers), the first three paragraphs of .2326, pages .2344 and .2345, and the numerical values on pages .2349 and .2352;
- (p) In relation to SUN.1501.0009.0590:
- (i) On page .0593 the names of the funds;

- (ii) On page .0594, the name of the fund and the tables headed ‘measure’ and ‘trailing returns’;
- (iii) Page .0595 and pages .0597 to .0607; and
- (iv) On page .0596, the name of the fund and the tables;

not be published except to the Commission, its staff and counsel and solicitors assisting the Commission, and to Suncorp and its counsel and solicitors.

Dated this 10 day of August 2018



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The Honourable Kenneth Madison Hayne AC QC
Commissioner of the Royal Commission

SCHEDULE

1. SUN.1501.0008.7013
2. SUN.1501.0005.4671
3. SUN.1512.0001.0028
4. SUN.1501.0005.2408
5. SUN.1501.0005.7507
6. SUN.1501.0005.5471
7. SUN.1501.0005.7503
8. SUN.1501.0004.2184
9. SUN.1501.0005.2398
10. SUN.1501.0005.5431
11. SUN.1501.0005.5446
12. SUN.1501.0005.7349
13. SUN.1501.0005.7684
14. SUN.1506.0018.0001
15. SUN.1518.0106.2280
16. SUN.1501.0005.6429
17. SUN.1501.0005.5348
18. SUN.1501.0005.4739
19. SUN.1501.0005.1411
20. SUN.1501.0005.2311



21. SUN.1501.0005.3522

22. SUN.1501.0005.4253

23. SUN.1501.0005.4683

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