

COMMONWEALTH OF AUSTRALIA

Royal Commissions Act 1902

COMMISSION OF INQUIRY INTO
MISCONDUCT IN THE BANKING, SUPERANNUATION AND
FINANCIAL SERVICES INDUSTRY

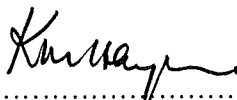
**NON-PUBLICATION DIRECTION
PURSUANT TO SECTION 6D(3)**

Pursuant to s 6D(3) of the *Royal Commissions Act 1902* (Cth), I direct that so much of the contents of the documents identified in the Schedule as discloses:

- (a) contact and identifying information of customers of Dover Financial Advisers Pty Ltd (**Dover**) and customers of any third parties;
- (b) direct contact information of employees of Dover and employees of any third parties; and
- (c) identifying information of any employees of Dover against whom allegations of misconduct have been made, or, in respect of whom, in the context of the particular document, it is suggested have been involved in misconduct, other than the names of the following individuals:
 - i. Julie Hamilton;
 - ii. Chris Harris;
 - iii. Koresh Daniel Houghton;
 - iv. Adam Palmer;
 - v. Joseph Paterniti; and
 - vi. Andrew Smith,

not be published except to the Commission, its staff and counsel and solicitors assisting the Commission, and to the Australian Securities and Investments Commission and its counsel and solicitors.

Dated this 1 day of May 2018



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The Honourable Kenneth Madison Hayne AC QC
Commissioner of the Royal Commission

SCHEDULE

1. ASIC.0015.0001.2123
2. ASIC.0015.0001.2126
3. ASIC.0015.0001.4333
4. ASIC.0015.0001.4343
5. ASIC.0015.0001.4350
6. ASIC.0015.0001.4588
7. ASIC.0015.0001.5037
8. ASIC.0015.0001.5419
9. ASIC.0015.0003.4868
10. ASIC.0015.0003.8713
11. ASIC.0015.0003.8836
12. ASIC.0015.0003.8846
13. ASIC.0015.0005.2142
14. ASIC.0015.0006.2091
15. ASIC.0015.0006.4163
16. ASIC.0015.0006.4166
17. ASIC.0015.0006.6336
18. ASIC.0022.0001.0543
19. ASIC.0022.0001.1041
20. ASIC.0022.0001.1042
21. ASIC.0022.0001.1067
22. ASIC.0022.0001.1068
23. ASIC.0022.0001.1118
24. ASIC.0022.0001.1119
25. ASIC.0022.0001.1127
26. ASIC.0022.0001.1141
27. ASIC.0022.0001.1147
28. ASIC.0022.0001.1181



29. ASIC.0500.0008.3513

30. ASIC.0902.0003.0036 *Alan*