

COMMONWEALTH OF AUSTRALIA

Royal Commissions Act 1902

COMMISSION OF INQUIRY INTO
MISCONDUCT IN THE BANKING, SUPERANNUATION AND
FINANCIAL SERVICES INDUSTRY

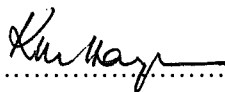
**NON-PUBLICATION DIRECTION
PURSUANT TO SECTION 6D(3)**

Pursuant to s 6D(3) of the *Royal Commissions Act 1902* (Cth), I direct that so much of the contents of the documents DOV.0002.0001.0224, DOV.0002.0001.0930, DOV.0003.0001.0001 and DOV.0004.0001.0055, as discloses:

- (a) contact and identifying information of customers of Dover Financial Advisers Pty Ltd (**Dover**) and customers of any third parties;
- (b) direct contact information of employees of Dover and employees of any third parties; and
- (c) identifying information of any employees of Dover against whom allegations of misconduct have been made, or, in respect of whom, in the context of the particular document, it is suggested have been involved in misconduct, other than the names of the following individuals:
 - i. Julie Hamilton;
 - ii. Chris Harris;
 - iii. Koresh Daniel Houghton;
 - iv. Adam Palmer;
 - v. Joseph Paterniti; and
 - vi. Andrew Smith,

not be published except to the Commission, its staff and counsel and solicitors assisting the Commission, and to Dover and its counsel and solicitors.

Dated this / day of May 2018



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The Honourable Kenneth Madison Hayne AC QC
Commissioner of the Royal Commission