

COMMONWEALTH OF AUSTRALIA

Royal Commissions Act 1902

COMMISSION OF INQUIRY INTO
MISCONDUCT IN THE BANKING, SUPERANNUATION AND
FINANCIAL SERVICES INDUSTRY

**NON-PUBLICATION DIRECTION
PURSUANT TO SECTION 6D(3)**

Pursuant to s 6D(3) of the *Royal Commissions Act 1902* (Cth), I direct that so much of the documents AFA.0001.0003.0870, AFA.0001.0003.0071, AFA.0001.0003.0079, AFA.0001.0003.0092, AFA.0001.0003.0265 and AFA.0001.0003.0274 as discloses:

- (a) identifying information of customers of any third parties;
- (b) direct contact information of employees of the Association of Financial Advisers (AFA) and employees of any third parties; and
- (c) identifying information advisers against whom allegations of misconduct have been made, or, in respect of whom, in the context of the particular document, it is suggested have been involved in misconduct, other than the names of the following individuals:
 - (i) John Doyle;
 - (ii) Christopher Harris;
 - (iii) Jennifer Coleman;
 - (iv) Sam Henderson; and
 - (v) Darren Tindall,

not be published except to the Commission, its staff and counsel and solicitors assisting the Commission, and to the AFA and its counsel and solicitors.

Dated this 26 day of April 2018



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The Honourable Kenneth Madison Hayne AC QC
Commissioner of the Royal Commission