

COMMONWEALTH OF AUSTRALIA

Royal Commissions Act 1902

COMMISSION OF INQUIRY INTO
MISCONDUCT IN THE BANKING, SUPERANNUATION AND
FINANCIAL SERVICES INDUSTRY

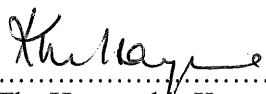
**NON-PUBLICATION DIRECTION
PURSUANT TO SECTION 6D(3)**

Pursuant to s 6D(3) of the *Royal Commissions Act 1902* (Cth), I direct that so much of the contents of the documents identified in the First Schedule, as discloses:

- (a) contact and identifying information of customers of Centrepoint Alliance Limited and its associated entities within the meaning of s 50AAA of the *Corporations Act 2001* (Cth) (collectively, **Centrepoint**) and customers of any third parties;
- (b) direct contact information of employees of Centrepoint and employees of any third parties;
- (c) identifying information of any individuals against whom allegations of misconduct have been made, or, in respect of whom, in the context of the particular document, it is suggested have been involved in misconduct; and
- (d) the parts of the documents listed in the Second Schedule,

not be published except to the Commission, its staff and counsel and solicitors assisting the Commission, and to Centrepoint and its counsel and solicitors.

Dated this 20 day of December 2018


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The Honourable Kenneth Madison Hayne AC QC
Commissioner of the Royal Commission

FIRST SCHEDULE

- (a) CAL.0001.0001.0053
- (b) CAL.0001.0001.0075
- (c) CAL.0001.0001.0137
- (d) CAL.0001.0001.0168
- (e) CAL.0001.0001.0177
- (f) CAL.0001.0001.0220
- (g) CAL.0001.0001.0234
- (h) CAL.0001.0001.0277
- (i) CAL.0001.0001.0295
- (j) CAL.0001.0001.1649
- (k) CAL.0001.0001.1699
- (l) CAL.0001.0001.1745
- (m) CAL.0001.0001.1764
- (n) CAL.0001.0001.1781
- (o) CAL.0001.0001.1811
- (p) CAL.0001.0001.1835
- (q) CAL.0001.0001.0330
- (r) CAL.0001.0001.1608
- (s) CAL.0001.0001.1869
- (t) CAL.0001.0001.1878
- (u) CAL.0001.0001.1887
- (v) CAL.0001.0001.1897
- (w) CAL.0001.0001.1906
- (x) CAL.0001.0001.1915
- (y) CAL.0001.0001.1934
- (z) CAL.0001.0001.1955
- (aa) CAL.0001.0001.1990
- (bb) CAL.0001.0001.2020
- (cc) CAL.0001.0001.2052
- (dd) CAL.0001.0002.0046



SECOND SCHEDULE

- (a) CAL.0001.0001.0053 at 0072, Schedule 1, item l(a) to the extent of the monetary figure therein;
- (b) CAL.0001.0001.0075 at 0097, Schedule 1, items 1 and 2 to the extent of the monetary figures therein;
- (c) CAL.0001.0001.0168 at 0175-0176 to the extent of the monetary figures therein;
- (d) CAL.0001.0001.0168 at 0176, fourth line, percentage figure;
- (e) CAL.0001.0001.0177 at 0208 to the extent of the monetary figures therein;
- (f) CAL.0001.0001.0220 at 0231 to the extent of the monetary and percentage figures therein;
- (g) CAL.0001.0001.0265 at 0265 to the extent of the monetary figures therein;
- (h) CAL.0001.0001.0277 at 0290-0291 to the extent of the monetary and percentage figures therein;
- (i) CAL.0001.0001.0295 at 0320 to the extent of the monetary figures therein;
- (j) CAL.0001.0001.0330 at 0355 to the extent of the monetary figures therein; and
- (k) CAL.0001.0001.2052 at 2094 to the extent of the monetary and percentage figures therein.

