

COMMONWEALTH OF AUSTRALIA

Royal Commissions Act 1902

COMMISSION OF INQUIRY INTO
MISCONDUCT IN THE BANKING, SUPERANNUATION AND
FINANCIAL SERVICES INDUSTRY

**NON-PUBLICATION DIRECTION
PURSUANT TO SECTION 6D(3)**

Pursuant to s 6D(3) of the *Royal Commissions Act 1902* (Cth), I direct that so much of the contents of:

- (a) the documents identified in the Schedule as discloses:
 - (i) contact information and personal financial information of Ms Donna McKenna and her two children, except for any reference to Ms McKenna's salary and the amount of the deferred superannuation benefit held by Ms McKenna;
 - (ii) direct contact information of employees of the Financial Planning Association (FPA) and employees of any third parties; and
 - (iii) identifying information of any employees of Henderson Maxwell Pty Ltd and Henderson Maxwell Financial Planning Pty Ltd against whom allegations of misconduct have been made, or, in respect of whom, in the context of the particular document, it is suggested have been involved in misconduct, except for Sam Henderson;
- (b) document FPA.0012.0001.1112 as discloses any of the information contained in that document other than;
 - (i) the first half of page .1112 down to the row in the table described as 'Total Surplus/(Deficit)'; and
 - (ii) on page .1115, the heading 'Department specifics' and the table and paragraph immediately below that heading,



not be published except to the Commission, its staff and counsel and solicitors assisting the Commission, and to the FPA and its counsel and solicitors.

Dated this 26 day of April 2018



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The Honourable Kenneth Madison Hayne AC QC
Commissioner of the Royal Commission

SCHEDULE

1. FPA.0017.0001.1004
2. FPA.0018.0001.0045
3. FPA.0018.0001.0046
4. FPA.0017.0001.0995
5. FPA.0006.0001.0047
6. FPA.0017.0001.0922
7. FPA.0017.0001.0864
8. FPA.0017.0001.0013
9. FPA.0017.0001.0004
10. FPA.0019.0001.0537
11. FPA.0006.0001.0681
12. FPA.0019.0001.0338
13. FPA.0020.0001.2822
14. FPA.0019.0001.0061
15. FPA.0019.0001.0196
16. FPA.0019.0001.0086
17. FPA.0019.0001.0678
18. FPA.0019.0001.0756
19. FPA.0020.0001.2759
20. FPA.0020.0001.2756
21. FPA.0020.0001.2726
22. FPA.0005.0001.0001
23. FPA.0005.0001.0002
24. FPA.0019.0001.0145
25. FPA.0019.0001.0081
26. FPA.0019.0001.0042
27. FPA.0019.0001.0131
28. FPA.0019.0001.0030



29. FPA.0019.0001.0029
30. FPA.0019.0001.0178
31. FPA.0019.0001.0179
32. FPA.0019.0001.0013
33. FPA.0019.0001.0014
34. FPA.0011.0002.0096
35. FPA.0012.0001.1750
36. FPA.0012.0001.1751
37. FPA.0012.0001.1752
38. FPA.0012.0001.1753
39. FPA.0012.0001.1754
40. FPA.0012.0001.1755
41. FPA.0012.0001.1756
42. FPA.0006.0001.0705

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