

COMMONWEALTH OF AUSTRALIA

*Royal Commissions Act 1902*

COMMISSION OF INQUIRY INTO  
MISCONDUCT IN THE BANKING, SUPERANNUATION AND  
FINANCIAL SERVICES INDUSTRY

**NON-PUBLICATION DIRECTION  
PURSUANT TO SECTION 6D(3)**

Pursuant to s 6D(3) of the *Royal Commissions Act 1902* (Cth), I direct that so much of the contents of the documents ASIC.0015.0003.7151, ASIC.0015.0003.3007, ASIC.0015.0005.3014, ASIC.0015.0005.3190, ASIC.0016.0001.0064 and ASIC.0016.0001.0073, as discloses:

- (a) any direct contact information of employees of the Australian Securities and Investments Commission (ASIC) or any employees of third parties;
- (b) the contact and identifying information of customers of Westpac Banking Corporation (WBC);
- (c) identifying information of employees or advisers of WBC against whom allegations of misconduct have been made, or, in respect of whom, in the context of the particular document, it is suggested have been involved in misconduct, other than the name of the following individual:

- (i) Andrew Smith,

not be published except to the Commission, its staff and counsel and solicitors assisting the Commission, and to ASIC and its counsel and solicitors.

Dated this 19 day of April 2018



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The Honourable Kenneth Madison Hayne AC QC  
Commissioner of the Royal Commission