

COMMONWEALTH OF AUSTRALIA

Royal Commissions Act 1902

COMMISSION OF INQUIRY INTO
MISCONDUCT IN THE BANKING, SUPERANNUATION AND
FINANCIAL SERVICES INDUSTRY

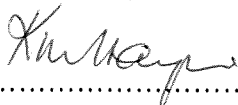
**NON-PUBLICATION DIRECTION
PURSUANT TO SECTION 6D(3)**

Pursuant to s 6D(3) of the *Royal Commissions Act 1902* (Cth), I direct that so much of the contents of the statement of evidence of Mr Adrian Kwa provided to the Commission in respect of Rubric 2-10, and the documents proposed to be exhibited to that statement, as discloses:

- (a) contact, identifying information and signatures of customers of RI Advice Group Pty Ltd, Millennium 3 Financial Services Pty Ltd, Financial Services Partners Pty Ltd and ANZ Financial Planning Pty Ltd (collectively, the **Wealth Entities**) and customers of any third parties;
- (b) direct contact information of employees of the Wealth Entities and employees of any other entities, including email addresses for mailboxes and other contact information for certain teams within those entities, which are used only for internal purposes; and
- (c) identifying information of any individuals against whom allegations of misconduct have been made, or, in respect of whom, in the context of the particular document, it is suggested have been involved in misconduct,

not be published except to the Commission, its staff and counsel and solicitors assisting the Commission, and to Australia and New Zealand Banking Group Limited (ANZ) and its counsel and solicitors.

Dated this 9 day of April 2018



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The Honourable Kenneth Madison Hayne AC QC
Commissioner of the Royal Commission