

COMMONWEALTH OF AUSTRALIA

Royal Commissions Act 1902

COMMISSION OF INQUIRY INTO
MISCONDUCT IN THE BANKING, SUPERANNUATION AND
FINANCIAL SERVICES INDUSTRY

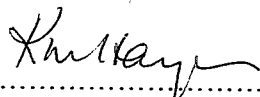
**NON-PUBLICATION DIRECTION
PURSUANT TO SECTION 6D(3)**

Pursuant to s 6D(3) of the *Royal Commissions Act 1902* (Cth), I direct that so much of the contents of the two statements of Mr Sam Maxwell Henderson provided to the Commission in respect of Rubric 2-29, and the documents proposed to be exhibited to that statement, as discloses:

- (a) identifying information of customers of Henderson Maxwell Pty Ltd and Henderson Maxwell Financial Planning Pty Ltd (collectively, **the HM Entities**), except for Ms Donna McKenna;
- (b) identifying information of any employees of the HM Entities against whom allegations of misconduct have been made, or, in respect of whom, in the context of the particular document, it is suggested have been involved in misconduct, except for Mr Sam Henderson; and
- (c) contact information and personal financial information of Ms McKenna and her two children, except for any reference to Ms McKenna's salary and the amount of the deferred superannuation benefit held by Ms McKenna,

not be published except to the Commission, its staff and counsel and solicitors assisting the Commission, and to the HM Entities and their counsel and solicitors.

Dated this 9 day of April 2018



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The Honourable Kenneth Madison Hayne AC QC
Commissioner of the Royal Commission