

COMMONWEALTH OF AUSTRALIA

Royal Commissions Act 1902

COMMISSION OF INQUIRY INTO
MISCONDUCT IN THE BANKING, SUPERANNUATION AND
FINANCIAL SERVICES INDUSTRY

**NON-PUBLICATION DIRECTION
PURSUANT TO SECTION 6D(3)**

Pursuant to s 6D(3) of the *Royal Commissions Act 1902* (Cth), I direct that so much of the contents of the statement of evidence of Darren John Whereat provided to the Commission in respect of Rubric 2-19, and the documents to be exhibited to that statement, as discloses:

- (a) the contact and identifying information (including signatures) of clients of Millennium 3 Financial Services Pty Ltd (**M3**) and RI Advice Group Pty Ltd (**RI**) and ANZ Financial Planning Ltd (together, the **Wealth Entities**);
- (b) the contact and identifying information of financial advisers (or their staff) who are or have been authorised to engage in financial services on behalf of the Wealth Entities, in a context which identifies them as being involved in alleged misconduct, except:
 - (i) John Doyle;
 - (ii) Chris Harris;
- (c) the direct contact details and signatures of employees of Australia and New Zealand Banking Group Limited (**ANZ**) and the contact details for internal teams within ANZ;
- (d) the direct contact details of employees of third parties;

not be published except to the Commission, its staff and counsel and solicitors assisting the Commission, and to ANZ and its counsel and solicitors.

Dated this 5 day of April 2018


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The Honourable Kenneth Madison Hayne AC QC
Commissioner of the Royal Commission