

COMMONWEALTH OF AUSTRALIA

*Royal Commissions Act 1902*

COMMISSION OF INQUIRY INTO  
MISCONDUCT IN THE BANKING, SUPERANNUATION AND  
FINANCIAL SERVICES INDUSTRY

**NON-PUBLICATION DIRECTION  
PURSUANT TO SECTION 6D(3)**

Pursuant to s 6D(3) of the *Royal Commissions Act 1902* (Cth), I direct that so much of the contents of:

- (a) the statement of evidence of Sarah Caroline Britt provided to the Commission in respect of Rubric 2-12, and the documents exhibited to that statement, as discloses:
  - (i) contact and identifying information of customers of AMP Limited (**AMP**) or an AMP associated entity (within the meaning of that term as defined in s 50AAA of the *Corporations Act 2001* (Cth)) (**AMP associated entity**);
  - (ii) direct contact information of employees or advisers of AMP or an AMP associated entity, employees of the Australian Securities and Investments Commission (**ASIC**) or third parties;
  - (iii) names and identifying information of employees or advisers of AMP or an AMP associated entity against whom allegations of misconduct have been made, or, in respect of whom, in the context of the particular document, it is suggested have been involved in misconduct, including the names and identifying information of the two advisers the subject of Rubric 2-12;
- (b) the statement of evidence of Anthony George Regan provided to the Commission in respect of Rubric 2-20, and the documents exhibited to that statement, as discloses:
  - (i) contact and identifying information of customers of AMP or an AMP associated entity;
  - (ii) direct contact information of employees or advisers of AMP or an AMP associated entity, employees of ASIC or third parties;

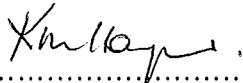


- (c) the statement of evidence of Sarah Caroline Britt provided to the Commission in respect of Rubric 2-27, and the documents exhibited to that statement, as discloses:
- (i) contact and identifying information of customers of AMP or of an AMP associated entity;
  - (ii) direct contact information of employees or advisers of AMP or an AMP associated entity, employees of ASIC or third parties;
  - (iii) names and identifying information of employees or advisers of AMP or an AMP associated entity against whom allegations of misconduct have been made, or, in respect of whom, in the context of the particular document, it is suggested have been involved in misconduct, other than:
    - A. Jennifer Coleman; and
    - B. Adam Palmer,

not be published except to the Commission, its staff and counsel and solicitors assisting the Commission, and to AMP and its counsel and solicitors.

This Non-Publication Direction revokes and replaces DIR-053 made on 4 April 2018.

Dated this 9 day of April 2018



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The Honourable Kenneth Madison Hayne AC QC  
Commissioner of the Royal Commission