

COMMONWEALTH OF AUSTRALIA

*Royal Commissions Act 1902*

COMMISSION OF INQUIRY INTO  
MISCONDUCT IN THE BANKING, SUPERANNUATION AND  
FINANCIAL SERVICES INDUSTRY

**NON-PUBLICATION DIRECTION  
PURSUANT TO SECTION 6D(3)**

Pursuant to s 6D(3) of the *Royal Commissions Act 1902* (Cth), I direct that so much of the contents of the documents exhibited to the statement of Anthony John Waldron dated 2 March 2018 as discloses:

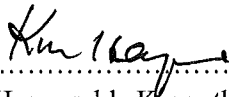
- (a) in the shaded parts of the documents numbered 1 to 3, 13 and 17 to 134 in the Annexure to the submissions provided to the Commission by National Australia Bank Limited (**NAB**) on 7 March 2018 (**Revised Annexure**):
  - (i) any contact or identifying information of customers of NAB;
  - (ii) any identifying information of employees of NAB against whom allegations of misconduct have been made, or, in respect of whom, in the context of the particular document, it is suggested have been involved in misconduct;
  - (iii) any direct contact information of employees of NAB; and
  - (iv) any direct contact information of third parties;
- (b) in the documents numbered 1 to 3, 13, 17 to 134 in the Revised Annexure, the personal and identifying information of third parties (such as introducers or persons associated with introducers in connection with matters being investigated by NAB);
- (c) at paragraph 2.2, on page 4, and paragraph 2.6, on pages 7 and 8, of the document numbered 1 in the Revised Annexure, the personal and identifying information of third parties (such as introducers or persons associated with introducers in connection with matters being investigated by NAB);
- (d) in the document numbered 1 in the Revised Annexure, the whole of the contents of Appendices 2 to 7 (inclusive);
- (e) in the documents numbered 25 and 26 in the Revised Annexure, any information that would reveal the identity of a whistleblower of NAB;



- (f) in the document numbered 94 in the Revised Annexure, any direct contact information of employees of NAB or third parties; and
- (g) in the shaded parts of the documents numbered 4 to 9, 11, 12, 15 and 16 in the Revised Annexure, information not in the public domain that is not relevant to the Case Study to which the statement relates and the disclosure of which would be likely to unreasonably adversely affect the public interest and NAB's legitimate business, commercial or financial interests, subject to any further direction that might be made that alters the ambit of the material the subject of a non-publication direction,

not be published except to the Commission, its staff and counsel and solicitors assisting the Commission, and to NAB and its counsel and solicitors.

Dated this 9 day of March 2018



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The Honourable Kenneth Madison Hayne AC QC  
Commissioner of the Royal Commission