

## Summary Table

**Summary of Public Results by Industry Sector 2008 - 2017**

	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	Total
Credit - ADI lending	1	2	1	1	5	2	5	4	6	12	39
Credit - Credit intermediaries	2	2		6	7	24	15	21	15	14	106
Credit - Other	1	2		4	6	12	13	11	9	13	71
Deposit takers - Deposit products		2	2			1	4	3	3	3	18
Deposit takers - Other	1	2	1		7	2				1	14
Financial Advisers - Bank advice		1	2	2	7	1	1	9	5	5	33
Financial Advisers - Compliance & supervision	2	7	12	30	22	28	22	18	39	28	208
Financial Advisers - Insurance advice		1	3	3	3	4	3	11	14	14	56
Financial Advisers - Remediation			1	1		2	5	2	4	3	18
Financial Advisers - SMSF advice	2	1		2	4	7	3	11	9	6	45
Financial Advisers - Other	7	13	8	19	7	16	16	21	34	24	165
Funds Management - Responsible Entities & schemes	2	3	6	18	2	8	11	13	17	18	98
Funds management - Superannuation					1	2	3	3	5	2	16
Funds management - Other	3	4	9	9	14	10	12	10	15	10	96
Insurance - Claims handling				1	1	2		2	2	1	9
Insurance - Sales practices		1	1	1	4	5	5	10	7	9	43
Insurance - Other				1	3	6	5	4	4	2	25
Market participant & OTC issuers - All	1	5	9	10	17	18	19	34	22	35	170
Market participant & OTC issuers - Major Aus and foreign banks		3				6	9	5	12	16	51
Misconduct in OTC markets						1	1	2	1		5
<b>Total</b>	<b>22</b>	<b>49</b>	<b>55</b>	<b>108</b>	<b>110</b>	<b>157</b>	<b>152</b>	<b>194</b>	<b>223</b>	<b>216</b>	<b>1286</b>

Note 1: The 'Other' category for each industry sector includes matters that are not included within the more specific categories listed

Note 2: The above table does not include businesses recorded on the ASIC MoneySmart 'Companies you should not deal with' webpage. This page lists businesses that ASIC has become aware of that have made unsolicited calls and emails to Australians but that do not hold a current Australian Financial Services licence or Australian Credit Licence from ASIC. Many of these were scams. There were 1079 entries recorded on the webpage during the relevant period. The webpage can be accessed at <https://www.moneySMART.gov.au/scams/companies-you-should-not-deal-with>.